

Form PTO-1449

Applicant: Jun-ichi Kani et al.
 Serial No.: 10/525,407
 Filing Date: February 23, 2005
 For: OPTICAL WAVELENGTH MULTIPLEX ACCESS SYSTEM AND OPTICAL NETWORK UNIT



Sheet 1 of 1
 Confirmation No.: 7501
 Att'y Docket No.: 14321.66
 Art Unit: 2661 2613

SUPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

<u>Examiner</u> <u>Initial*</u>	<u>Document</u> <u>Number</u>	<u>Issue</u> <u>Date</u>	<u>Name</u>
------------------------------------	----------------------------------	-----------------------------	-------------

Foreign Patent Documents

<u>Examiner</u> <u>Initial*</u>	<u>Document</u> <u>Number</u>	<u>Publication</u> <u>Date</u>	<u>Country or</u> <u>Patent Office</u>	<u>Translation</u>
------------------------------------	----------------------------------	-----------------------------------	---	--------------------

Other Documents

(including author, title, pertinent pages, etc.)

Examiner
Initial*

/HP/ 1 Jun-ichi Kani et al., *A WDM-Based Optical Access Network for Wide-Area Gigabit Access Services*, IEEE Optical Communications, Vol. 41, Issue 2, February 2003, pp. S43-S48.

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

W:\14321\66\DFW0000019132V001.doc

Examiner:	/Hanh Phan/	Date Considered:	09/06/2007
-----------	-------------	------------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449

Applicant: Jun-ichi Kani et al.
Serial No.: 10/525,407
Filing Date: February 23, 2005
For: OPTICAL WAVELENGTH MULTIPLEX ACCESS SYSTEM AND OPTICAL NETWORK UNIT

Sheet 1 of 2
Confirmation No.: 7501
Att'y Docket No.: 14321.66
Art Unit: 2661-243

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANTU.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Issue Date</u>	<u>Name</u>
------------------------------	----------------------------	-----------------------	-------------

Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>	<u>Translation</u>
<u>/HP/</u> 1	2000-196536	07/14/2000	Japan	No
<u>/HP/</u> 2	2001-177505	06/29/2001	Japan	No

Other Documents

(including author, title, pertinent pages, etc.)

Examiner
Initial*

<u>/HP/</u> 3	Koji Akimoto et al., <i>Gigabit WDM-PON Systems Using Spectrum Slicing Technologies</i> , The Institute of Electronics, Information and Communication Engineers, 05/2003, pp. 25-30.
<u>/HP/</u> 4	ITU-T Recommendations G.983.1, 10/1998, p. 19.
<u>/HP/</u> 5	Koji Akimoto et al., <i>Spectrum-sliced, 25-GHz Spaced, 155 Mbps X 32 Channel WDM Access</i> , The 4 th Pacific Rim Conference on Lasers and Electro-Optics, 2001, Vol. 2, pp. II-556-557.
<u>/HP/</u> 6	Koji Akimoto et al., <i>Gigabit WDM-PON System Using Spectrum-Slicing Technologies</i> , ECOC 2003: 29 th European Conference on Optical Communication Paper, #TH2.4.6.

Examiner: /Hanh Phan/

Date Considered: 09/06/2007

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449

Applicant: Jun-ichi Kani et al.

Serial No.: 10/525,407

Filing Date: February 23, 2005

For: OPTICAL WAVELENGTH MULTIPLEX ACCESS SYSTEM AND OPTICAL NETWORK UNIT



Sheet 2 of 2

Confirmation No.: 7501

Att'y Docket No.: 14321.66

Art Unit: 2661-2613

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

W:\14321\66\DFW0000017675V001.doc

Examiner: /Hanh Phan/

Date Considered: 09/06/2007

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.